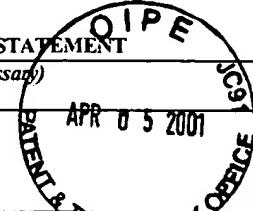


Atty. Docket No.
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09/454,978

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant **Peter E. RAYNER, et al.**Filing Date
December 3, 1999Group
2768

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date (if appropriate)
<i>G</i>		5,890,138	3/30/99	Godin, et al.	705	26	8/26/96
<i>G</i>		5,873,071	2/16/99	Ferstenberg, et al.	705	37	5/15/97
<i>A</i>		5,864,827	1/26/99	Wilson	705	35	6/27/97
<i>A</i>		5,842,178	11/24/98	Giovannoli	705	26	1/30/98
<i>G</i>		5,832,505	11/3/98	Kasso, et al.	707	104	4/2/97
<i>G</i>		5,809,483	9/15/98	Broka, et al.	705	37	11/14/97
<i>G</i>		5,797,127	8/18/98	Walker, et al.	705	5	12/31/96
<i>G</i>		5,794,219	8/11/98	Brown	705	37	2/20/96
<i>G</i>		5,787,402	7/28/98	Potter, et al.	705	37	10/8/96
<i>G</i>		5,765,141	6/9/98	Spector	705	14	10/6/95
<i>G</i>		5,761,661	6/2/98	Coussens, et al.	707	9	7/14/94
<i>G</i>		5,749,077	5/5/98	Campbell	705	36	1/23/97
<i>G</i>		5,717,989	2/10/98	Tozzoli, et al.	705	37	10/13/94
<i>G</i>		5,710,889	1/20/98	Clark, et al.	364 <i>705</i>	244 <i>379</i>	6/7/95
<i>G</i>		5,692,233	11/25/97	Garman	705	36	2/3/95
<i>G</i>		5,557,517	9/17/96	Daughterty, III	364 <i>705</i>	408 <i>37</i>	7/29/94
<i>G</i>		5,500,793	3/19/96	Deming, Jr., et al.	364 <i>705</i>	401 <i>37</i>	9/2/93
<i>G</i>		5,375,055	12/20/94	Togher, et al.	364 <i>705</i>	408 <i>37</i>	2/3/92
<i>G</i>		5,339,392	8/16/94	Risberg, et al.	364 <i>705</i>	161 <i>762</i>	12/28/90
<i>G</i>	*	5,305,200	4/19/94	Hartheimer, et al.	<i>705</i>	<i>37</i>	<i>11/2/90</i>
<i>G</i>	*	5,297,032	2/1/91	Trojan, et al.	<i>705</i>	<i>37</i>	<i>2/6/91</i>
<i>G</i>		5,297,031	3/22/94	Guterman, et al.	364 <i>705</i>	408 <i>37</i>	3/6/90
<i>G</i>		5,258,908	11/2/93	Hartheimer, et al.	364 <i>705</i>	408 <i>37</i>	11/2/90
<i>G</i>		5,136,501	8/4/92	Silverman, et al.	364 <i>705</i>	408 <i>37</i>	5/26/89
<i>G</i>		5,126,936	6/30/92	Champion, et al.	364 <i>705</i>	408 <i>36</i>	9/1/89

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GA		5,101,353	3/31/92	Lupien, et al.	364/05	408/31	5/31/89
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GA		5,077,665	12/31/91	Silverman, et al.	364/05	408/37	5/25/89
GA	*	4,975,865	12/4/90	Carrette, et al.	700	10	5/28/89
GA	*	4,942,616	7/17/90	Linstroth, et al.	704	275	4/29/85
GA	*	4,903,201	2/20/90	Wagner	705	31	4/29/85
GA	*	4,825,050	4/25/89	Griffith, et al.	235	319	2/29/83
GA		4,823,265	4/18/89	Nelson	364/05	408/35	5/14/87
GA	*	4,789,928	6/15/88	Fujisaki	705	37	1/30/87
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GA	*	EPO 0471063 B1	2/27/92	European Union			
GA	*	GB 2161003 A	6/28/85	Great Britain			
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<i>AS</i>	*	Opposition by Bayerische Vereinsbank AG (German and translated versions) with attachments (German and translated versions), dated December 19, 1997, having EPO file number 0384-49.676EP-kö
<i>AS</i>	*	DTB Deutsche Terminborse GmbH (a) Rundschreiben No. 66/91 dated 6.8.1991 (German), (b) Letter of Mark Thornburgh dated December 16, 1997 (English)
<i>AS</i>	*	Confirmation of the QT Optec company dated December 12, 1997 (German) with Encl. 1 to 3 (German/English)
<i>AS</i>	*	Extract from the stock exchange regulations of the German Futures Exchange DTB of March, 1995 (German)
<i>AS</i>	*	Anwenderhandbuch "OPTIONSSCHEINGESCHAFT," dated December 2, 1997, pp. 1-22 (German)
<i>AS</i>	*	DIOS Kurzbeschreibung, SGZ-Bank, September 26, 1994 (German)
<i>AS</i>	*	DIOS Prospekt, SGZ-Bank, 4/95 (German)
<i>AS</i>	*	Ablauf von DIOS Bildschirmmasken (German)
<i>AS</i>	*	Begriff der Reaktionszeit im DIOS, dated May 25, 1994
<i>AS</i>	*	DIOS Schulungsunterlagen, SGZ-Bank, dated 10.11.10.1994
<i>AS</i>	*	Rundschreiben of the SGZ-Bank to the Volks- and Raiffeisenbanken / 4 letters, dated 15.08.1995, 13.04.1995, 04.07.1994, 10.08.1995 (German)
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<i>AS</i>	*	Rundschreiben of the SGZ-Bank to the Volks- and Raiffeisenbanken / 2 letters, dated 11.10.1994 and 11.11.1994 (German)
<i>AS</i>	*	Notice from Mrs. Christa Franke, dated January 31, 1995, to Herrn Dr. Franke and Herrn Seip (German)
<i>AS</i>	*	Declaration "Christa Franke" of December 18, 1997, together with Notice to Herrn Dr. Franke and Herrn Seip of February 3, 1995 (German)
<i>AS</i>	*	Message from REUTER of January, 1995 concerning CATS-OS (German)

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	*	Extract from "Wirtschaftswoche," No. 5 / 28.1.1995, p. 88 (German)
	*	"Computerwissen," Verlag Markt + Technik 1992, Haar/Munchen, p. 236/237 (German with English Translation)
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	*	Letter Fiducia of April 10, 1995 (German)
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	*	Letter from SGZ-Bank to Volksbank eG, a participant in the pilot phase, dated July 21, 1994
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<i>SM</i>	*	International Search Report for International Application No. PCT/EP96/03972, dated December 10, 1996 (mailing date)
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INFORMATION DISCLOSURE STATEMENT

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Applicant Peter E. RAYNER, et al.

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Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date (if appropriate)
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<i>CA</i>	5,987,432	11/1999	Zusman, et al.	705	35	
<i>CA</i>	5,970,479	10/1999	Shepherd	705	37	
<i>CA</i>	5,915,209	6/1999	Lawrence	455-340	312-31	
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<i>CA</i>	5,788,224	8/1998	Platt	256	66	
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